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PATENT

IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

Applicant(s):	)	I hereby certify that this paper is
	)	being deposited with the United
Auf Der Maur et al.	)	States Postal Service with
	)	sufficient postage as first class
Serial No.: 09/750,424	)	mail in an envelope addressed
	)	to: Commissioner for Patents,
Filed: December 28, 2000	)	Washington, D.C. 20231 on this
	)	date:
For: Intrabodies With Defined	)	
Framework That is Stable in a	)	March 13, 2001
Reducing Environment and Applications	)	
Thereof	)	
	)	
Attorney Docket No.: 27656/37021	)	<u>Jeffrey S. Sharp</u>
	)	Jeffrey S. Sharp
Group Art Unit: Not Assigned	)	Registration No. 31,879
	)	Attorney for Applicant(s)
Examiner: Not Assigned	)	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231.

Sir:

The patents and/or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR §§ 1.56, 1.97, and 1.98. Copies of the patents or publications are enclosed as necessary.

### TIME OF FILING

This information disclosure statement is being filed:

- ☒ 1. with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits, whichever event occurs last. In accordance with 37 CFR §1.97(b), no certification or fee is required.
- ☐ 2. after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 CFR §1.113 or notice of allowance under 37 CFR §1.311. Therefore, in accordance with 37 CFR §1.97(c), submitted herewith is:  

**(check either A or B below)**

  - A. ☐ a certification as specified in 37 CFR §1.97(e).
  - B. ☐ the fee set forth in 37 CFR §1.17(p) for submission of an information disclosure statement under 37 CFR §1.97(c).
- ☐ 3. after the mailing date of either a final action under 37 CFR §1.113 or a notice of allowance under 37 CFR §1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant submits herewith:
  - A. a certification as specified in 37 CFR §1.97(e);
  - B. the petition fee set forth in 37 CFR §1.17(i); and
  - C. the accompanying petition under 37 CFR §1.97(d).

### CERTIFICATION

*(only used if No. 2 or No. 3 above is checked)*

The person(s) signing below certify

**(check appropriate paragraph)**

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 CFR §1.97(e)(1).
- OR**
- ☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement. 37 CFR §1.97(e)(2).

### PETITION

*(only used if No. 3 above is checked)*

☐ Applicant(s) hereby petition(s) pursuant to 37 C.F.R. § 1.97(d) that this Information Disclosure Statement, Form PTO-1449, and document(s) identified therein be considered.

### PRIOR AND RELATED APPLICATIONS

In accordance with 37 CFR §1.98(d), copies of the patents and publications listed on the enclosed PTO Form-1449 are not provided because the patents and publications were previously cited by, or submitted to, the Patent Office in a prior application that Applicant(s) rely upon for an earlier filing date under 35 U.S.C. §120. Documents listed on the attached Form PTO-1449 were cited by, or submitted to, the examiner of the following patent application(s):

Applicant(s): Auf Der Maur et al.  
Serial No. 09/529,307  
Filing Date: April 11, 2000  
Title: Intrabodies With Defined Framework That is Stable in a Reducing Environment and Applications Thereof  
Status: Pending

### METHOD OF PAYMENT

- ☒ No fee is required.  
☐ Attached is a check in the amount of \$ \_\_\_\_\_.

The Commissioner is authorized to charge any fee deficiency required by this paper, or credit any overpayment, to Deposit Account No. 13-2855. A copy of this paper is enclosed.

Respectfully submitted,

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By: \_\_\_\_\_

Jeffrey S. Sharp  
Reg. No: 31,879

March 13, 2001